**Andrew M. Olney · Irene-Angelica Chounta · Zitao Liu · Olga C. Santos · Ig Ibert Bittencourt (Eds.)**

# **NAI 14829** LNAI 14829

# **Artificial Intelligence in Education**

**25th International Conference, AIED 2024 Recife, Brazil, July 8–12, 2024 Proceedings, Part I**

Part I



25th International Conference on Artificial Intelligence in Education



# Lecture Notes in Computer Science

# **Lecture Notes in Artificial Intelligence 14829**

Founding Editor Jörg Siekmann

Series Editors

Randy Goebel, *University of Alberta, Edmonton, Canada* Wolfgang Wahlster, *DFKI, Berlin, Germany* Zhi-Hua Zhou, *Nanjing University, Nanjing, China*

The series Lecture Notes in Artificial Intelligence (LNAI) was established in 1988 as a topical subseries of LNCS devoted to artificial intelligence.

The series publishes state-of-the-art research results at a high level. As with the LNCS mother series, the mission of the series is to serve the international  $R \& D$  community by providing an invaluable service, mainly focused on the publication of conference and workshop proceedings and postproceedings.

Andrew M. Olney · Irene-Angelica Chounta · Zitao Liu · Olga C. Santos · Ig Ibert Bittencourt Editors

# Artificial Intelligence in Education

25th International Conference, AIED 2024 Recife, Brazil, July 8–12, 2024 Proceedings, Part I



*Editors* Andrew M. Olney University of Memphis Memphis, TN, USA

Zitao Liu Jinan University Guangzhou, China

Ig Ibert Bittencourt Universidade Federal de Alagoas Maceio, Brazil

Irene-Angelica Chounta University of Duisburg-Essen Duisburg, Germany

Olga C. Santos UNED Madrid, Spain

ISSN 0302-9743 ISSN 1611-3349 (electronic) Lecture Notes in Artificial Intelligence<br>ISBN 978-3-031-64301-9 IS ISBN 978-3-031-64302-6 (eBook) https://doi.org/10.1007/978-3-031-64302-6

LNCS Sublibrary: SL7 – Artificial Intelligence

© The Editor(s) (if applicable) and The Author(s), under exclusive license to Springer Nature Switzerland AG 2024 Chapter "Ruffle&Riley: Insights from Designing and Evaluating a Large Language Model-Based Conversational Tutoring System" is licensed under the terms of the Creative Commons Attribution 4.0 International License (http://creativecommons.org/licenses/by/4.0/). For further details see license information in the chapters.

This work is subject to copyright. All rights are solely and exclusively licensed by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, expressed or implied, with respect to the material contained herein or for any errors or omissions that may have been made. The publisher remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

This Springer imprint is published by the registered company Springer Nature Switzerland AG The registered company address is: Gewerbestrasse 11, 6330 Cham, Switzerland

If disposing of this product, please recycle the paper.

# **Preface**

The 25th International Conference on Artificial Intelligence in Education (AIED 2024) was hosted by Centro de Estudos e Sistemas Avançados do Recife (CESAR), Brazil from July 8 to July 12, 2024. It was set up in a face-to-face format but included an option for an online audience. AIED 2024 was the next in a longstanding series of annual international conferences for the presentation of high-quality research on intelligent systems and the cognitive sciences for the improvement and advancement of education. Note that AIED is ranked A in CORE (top 16% of all 783 ranked venues), the well-known ranking of computer science conferences. The AIED conferences are organized by the prestigious International Artificial Intelligence in Education Society, a global association of researchers and academics, which has already celebrated its 30th anniversary, and aims to advance the science and engineering of intelligent human-technology ecosystems that support learning by promoting rigorous research and development of interactive and adaptive learning environments for learners of all ages across all domains.

The theme for the AIED 2024 conference was "AIED for a World in Transition". The conference aimed to explore how AI can be used to enhance the learning experiences of students and teachers alike when disruptive technologies are turning education upside down. Rapid advances in Artificial Intelligence (AI) have created opportunities not only for personalized and immersive experiences but also for ad hoc learning by engaging with cutting-edge technology continually, extending classroom borders, from engaging in real-time conversations with large language models (LLMs) to creating expressive artifacts such as digital images with generative AI or physically interacting with the environment for a more embodied learning. As a result, we now need new approaches and measurements to harness this potential and ensure that we can safely and responsibly cope with a world in transition. The conference seeks to stimulate discussion of how AI can shape education for all sectors, how to advance the science and engineering of AI-assisted learning systems, and how to promote broad adoption.

AIED 2024 attracted broad participation. We received 334 submissions for the main program, of which 280 were submitted as full papers, and 54 were submitted as short papers. Of the full paper submissions, 49 were accepted as full papers, and another 27 were accepted as short papers. The acceptance rate for full papers and short papers together was 23%. These accepted contributions are published in the Springer proceedings volumes LNAI 14829 and 14830.

The submissions underwent a rigorous double-masked peer-review process aimed to reduce evaluation bias as much as possible. The first step of the review process was done by the program chairs, who verified that all papers were appropriate for the conference and properly anonymized. Program committee members were asked to declare conflicts of interest. After the initial revision, the program committee members were invited to bid on the anonymized papers that were not in conflict according to their declared conflicts of interest. With this information, the program chairs made the review assignment, which consisted of three regular members to review each paper plus a senior member to

#### vi Preface

provide a meta-review. The management of the review process (i.e., bidding, assignment, discussion, and meta-review) was done with the EasyChair platform, which was configured so that reviewers of the same paper were anonymous to each other. A subset of the program committee members were not included in the initial assignment but were asked to be ready to do reviews that were not submitted on time (i.e., the emergency review period). To avoid a situation where program committee members would be involved in too many submissions, we balanced review assignments and then rebalanced them during the emergency review period.

As a result, each submission was reviewed anonymously by at least three Program Committee (PC) members and then a discussion was led by a Senior Program Committee (SPC) member. PC and SPC members were selected based on their authorship in previous AIED conferences, their experience as reviewers in previous AIED editions, their h-index as calculated by Google Scholar, and their previous positions in organizing and reviewing related conferences. Therefore all members were active researchers in the field, and SPC members were particularly accomplished on these metrics. SPC members served as meta-reviewers whose role was to seek consensus to reach the final decision about acceptance and to provide the corresponding meta-review. They were also asked to check and highlight any possible biases or inappropriate reviews. Decisions to accept/reject were taken by the program chairs. For borderline cases, the contents of the paper were read in detail before reaching the final decision. In summary, we are confident that the review process assured a fair and equal evaluation of the submissions received without any bias, as far as we are aware.

Beyond paper presentations, the conference included a Doctoral Consortium Track, Late-Breaking Results, a Workshops and Tutorials Track, and an Industry, Innovation and Practitioner Track. There was a WideAIED track, which was established in 2023, where opportunities and challenges of AI in education were discussed with a global perspective and with contributions coming also from areas of the world that are currently under-represented in AIED. Additionally, a BlueSky special track was included with contributions that reflect upon the progress of AIED so far and envision what is to come in the future. The submissions for all these tracks underwent a rigorous peer review process. Each submission was reviewed by at least three members of the AIED community, assigned by the corresponding track organizers who then took the final decision about acceptance.

The participants of the conference had the opportunity to attend three keynote talks: "Navigating Strategic Challenges in Education in the Post-Pandemic AI Era" by Blaženka Divjak, "Navigating the Evolution: The Rising Tide of Large Language Models for AI and Education" by Peter Clark, and "Artificial Intelligence in Education and Public Policy: A Case from Brazil" by Seiji Isotani. These contributions are published in the Springer proceedings volumes CCIS 2150 and 2151.

The conference also included a Panel with experts in the field and the opportunity for the participants to present a demonstration of their AIED system in a specific session of Interactive Events. A selection of the systems presented is included as showcases on the web page of the IAIED Society<sup>1</sup>. Finally, there was a session with presentations

<sup>1</sup> https://iaied.org/showcase.

of papers published in the International Journal of Artificial Intelligence in Education<sup>2</sup>, the journal of the IAIED Society indexed in the main databases, and a session with the best papers from conferences of the International Alliance to Advance Learning in the Digital Era  $(IAALDE)^3$ , an alliance of research societies that focus on advances in computer-supported learning, to which the IAIED Society belongs.

For making AIED 2024 possible, we thank the AIED 2024 Organizing Committee, the hundreds of Program Committee members, the Senior Program Committee members, and the AIED proceedings chairs Paraskevi Topali and Rafael D. Araújo. In addition, we would like to thank the Executive Committee of the IAIED Society for their advice during the conference preparation, and specifically two of the working groups, the Conference Steering Committee, and the Diversity and Inclusion working group. They all gave their time and expertise generously and helped with shaping a stimulating AIED 2024 conference. We are extremely grateful to everyone!

July 2024 Andrew M. Olney Irene-Angelica Chounta Zitao Liu Olga C. Santos Ig Ibert Bittencourt

<sup>2</sup> https://link.springer.com/journal/40593.

<sup>3</sup> https://alliancelss.com/.

# **Organization**

# **Conference General Co-chairs**



# **Program Co-chairs**



# **Doctoral Consortium Co-chairs**



# **Workshop and Tutorials Co-chairs**



# **Interactive Events Co-chairs**



Federal University of Alagoas, Brazil University of Southern California, USA Kumamoto University, Japan

# x Organization

# **Industry, Innovation and Practitioner Co-chairs**



# **Posters and Late Breaking Results Co-chairs**



# **Panel Co-chairs**



# **Blue Sky Co-chairs**



# **WideAIED Co-chairs**



# **Local Organising Co-chairs**



# **AIED Mentoring Fellowship Co-chairs**



# **Diversity and Inclusion Co-chairs**



# **Virtual Experiences Co-chairs**



# **Publicity Co-chairs**



# **Volunteer Co-chairs**



## xii Organization

# **Proceedings Co-chairs**



## **Awards Co-chairs**



# **Sponsorship Chair**



# **Scholarship Chair**



# **Steering Committee**



# **Senior Program Committee Members**



Isabela Gasparini UDESC, Brazil Peter Hastings DePaul University, USA Seiji Isotani Harvard University, USA Simon Knight UTS, Australia

Ruth Cobos Universidad Autónoma de Madrid, Spain Cesar A. Collazos Universidad del Cauca, Colombia Mutlu Cukurova University College London, UK Maria Cutumisu McGill University, Canada Tenzin Doleck Simon Fraser University, Canada Ralph Ewerth Leibniz Universität Hannover, Germany Dragan Gasevic Monash University, Australia Sébastien George Le Mans Université, France Alex Sandro Gomes Universidade Federal de Pernambuco, Brazil Jason Harley McGill University, Canada Bastiaan Heeren Open University, Netherlands Neil Heffernan Worcester Polytechnic Institute, USA Tomoo Inoue University of Tsukuba, Japan Johan Jeuring Utrecht University, Netherlands Srecko Joksimovic University of South Australia, Australia Kenneth Koedinger Carnegie Mellon University, USA Irena Koprinska University of Sydney, Australia Vitomir Kovanovic University of South Australia, Australia Amruth N. Kumar Ramapo College of New Jersey, USA H. Chad Lane University of Illinois at Urbana-Champaign, USA James Lester North Carolina State University, USA Fuhua Lin Athabasca University, Canada Roberto Martinez-Maldonado Monash University, Australia Riichiro Mizoguchi Japan Advanced Institute of Science and Technology, Japan Kasia Muldner Carleton University, Canada Tomohiro Nagashima Saarland University, Germany Roger Nkambou Université du Québec à Montréal, Canada Radek Pelánek Masaryk University Brno, Czechia Elvira Popescu University of Craiova, Romania Kaska Porayska-Pomsta University College London, UK Maria Mercedes T. Rodrigo Ateneo de Manila University, Philippines Jonathan Rowe North Carolina State University, USA Demetrios Sampson Curtin University, Australia Mohammed Saqr University of Eastern Finland, Finland Filippo Sciarrone Universitas Mercatorum, Italy Sergey Sosnovsky Utrecht University, Netherlands Michelle Taub University of Central Florida, USA

#### xiv Organization

Craig Thompson University of British Columbia, Canada Stefan Trausan-Matu University Politehnica of Bucharest, Romania Maomi Ueno University of Electro-Communications, Japan Rosa Vicari Universidade Federal do Rio Grande do Sul, Brazil Vincent Wade Trinity College Dublin, Ireland Alistair Willis Open University, UK Diego Zapata-Rivera Educational Testing Service, USA Gustavo Zurita Universidad de Chile, Chile

#### **Program Committee Members**

Mark Abdelshiheed University of Colorado Boulder, USA Kamil Akhuseyinoglu University of Pittsburgh, USA Carlos Alario-Hoyos Universidad Carlos III de Madrid, Spain Laia Albó Universitat Pompeu Fabra, Spain Giora Alexandron Weizmann Institute of Science, Israel Isaac Alpizar Chacon Utrecht University, Netherlands Ioannis Anastasopoulos University of California, Berkeley, USA Antonio R. Anaya Universidad Nacional de Educación a Distancia, Spain Tracy Arner Arizona State University, USA Burcu Arslan Educational Testing Service, USA Juan I. Asensio-Pérez Universidad de Valladolid, Spain Michelle Banawan Asian Institute of Management, Philippines Abhinava Barthakur University of South Australia, Australia Beata Beigman Klebanov Educational Testing Service, USA Brian Belland Pennsylvania State University, USA Francisco Bellas Universidade da Coruna, Spain Emmanuel Blanchard Le Mans Université, France Nathaniel Blanchard Colorado State University, USA Maria Bolsinova Tilburg University, Netherlands Miguel L. Bote-Lorenzo Universidad de Valladolid, Spain Anthony F. Botelho University of Florida, USA François Bouchet Sorbonne Université - LIP6, France Marie-Luce Bourguet Queen Mary University of London, UK Rex Bringula University of the East, Philippines Julien Broisin Université Toulouse III - Paul Sabatier, France Armelle Brun LORIA - Université de Lorraine, France Minghao Cai University of Alberta, Canada Dan Carpenter North Carolina State University, USA

Paulo Carvalho Carnegie Mellon University, USA Alberto Casas UNED, Spain Teresa Cerratto-Pargman Stockholm University, Sweden Jiahao Chen TAL, China Penghe Chen Beijing Normal University, China Chih-Yueh Chou 元智大工程系, Taiwan Jody Clarke-Midura 
Utah State University, USA Keith Cochran DePaul University, USA Maria de Los Angeles Constantino González Alexandra Cristea Durham University, UK Jeanine DeFalco University of New Haven, USA Carrie Demmans Epp University of Alberta, Canada Vanessa Dennen Florida State University, USA Michel Desmarais Polytechnique Montréal, Canada M. Ali Akber Dewan Athabasca University, Canada Yannis Dimitriadis University of Valladolid, Spain Konomu Dobashi Aichi University, Japan Benedict du Boulay University of Sussex, UK Nicholas Duran Arizona State University, USA Kareem Edouard Drexel University, USA

Mingyu Feng WestEd, USA

R. McKell Carter University of Colorado Boulder, USA Cs Chai Chinese University of Hong Kong, China Thomas K. F. Chiu Chinese University of Hong Kong, China Heeryung Choi Massachusetts Institute of Technology, USA Tecnológico de Monterrey Campus Laguna, Mexico Evandro Costa Federal University of Alagoas, Brazil Jeffrey Cross Tokyo Institute of Technology, Japan Mihai Dascalu University Politehnica of Bucharest, Romania Fabiano Dorça Universidade Federal de Uberlandia, Brazil Mohsen Dorodchi University of North Carolina Charlotte, USA Cristina Dumdumaya University of Southeastern Philippines, Philippines Fahmid Morshed Fahid North Carolina State University, USA Xiuyi Fan Nanyang Technological University, Singapore Alexandra Farazouli Stockholm University, Sweden Effat Farhana Vanderbilt University, USA Márcia Fernandes Federal University of Uberlândia, Brazil Brendan Flanagan Kyoto University, Japan Carol Forsyth Educational Testing Service, USA Reva Freedman Northern Illinois University, USA

xvi Organization

Alireza Gharahighehi KU Leuven, Belgium

Quanlong Guan Jinan University, China Iris Howley Williams College, USA

Tanja Käser EPFL, Switzerland

Cristiano Galafassi Universidade Federal do Rio Grande do Sul, Brazil Wenbin Gan National Institute of Information and Communications Technology, Japan Zhen Gao McMaster University, Canada Yiannis Georgiou Cyprus University of Technology, Cyprus Lucia Giraffa Pontificia Universidade Católica do Rio Grande do Sul, Brazil Michael Glass Valparaiso University, USA Benjamin Goldberg United States Army DEVCOM Soldier Center, USA Aldo Gordillo Universidad Politécnica de Madrid, Spain Guher Gorgun University of Alberta, Canada Cyril Goutte National Research Council Canada, Canada Sabine Graf Athabasca University, Canada Monique Grandbastien LORIA, Université de Lorraine, France Beate Grawemeyer Coventry University, UK Nathalie Guin LIRIS - Université de Lyon, France Ella Haig University of Portsmouth, UK Jiangang Hao Educational Testing Service, USA Yugo Hayashi Ritsumeikan University, Japan Yusuke Hayashi Hiroshima University, Japan Arto Hellas Aalto University, Finland Davinia Hernandez-Leo Universitat Pompeu Fabra, Barcelona, Spain Anett Hoppe Leibniz Universität Hannover, Germany Sharon Hsiao Santa Clara University, USA Xiangen Hu University of Memphis, USA Lingyun Huang Education University of Hong Kong, China Shuyan Huang TAL Education Group, China Stephen Hutt University of Denver, USA Tsunenori Ishioka National Center for University Entrance Examinations, Japan Patricia Jaques UFPEL, UFPR, Brazil Stéphanie Jean-Daubias Université de Lyon, LIRIS, France Yang Jiang Columbia University, USA Yueqiao Jin Monash University, Australia David Joyner Georgia Institute of Technology, USA Hamid Karimi Utah State University, USA

Akihiro Kashihara University of Electro-Communications, Japan Enkelejda Kasneci Technical University of Munich, Germany Judy Kay University of Sydney, Australia Mizue Kayama Shinshu University, Japan Mohammad Khalil University of Bergen, Norway Hassan Khosravi University of Queensland, Australia Chanmin Kim Pennsylvania State University, USA Yeo Jin Kim North Carolina State University, USA Yoon Jeon Kim University of Wisconsin-Madison, USA Rene Kizilcec Cornell University, USA Elizabeth Koh Nanyang Technological University, Singapore Kazuaki Kojima Teikyo University, Japan Sotiris Kotsiantis University of Patras, Greece Eleni Kyza Cyprus University of Technology, Cyprus Sébastien Lallé Sorbonne University, France Andrew Lan University of Massachusetts Amherst, USA Mikel Larrañaga University of the Basque Country, Spain Elise Lavoué Université Jean Moulin Lyon 3, LIRIS, France Nguyen-Thinh Le Humboldt Universität zu Berlin, Germany Tai Le Quy IU International University of Applied Sciences, **Germany** Seiyon Lee University of Florida, USA Victor Lee Stanford University, USA Marie Lefevre LIRIS - Université Lyon 1, France Blair Lehman Educational Testing Service, USA Juho Leinonen Aalto University, Finland Liang-Yi Li<br>
National Taiwan Normal University, Taiwan Zhi Li University of California, Berkeley, USA Bibeg Limbu University of Duisburg-Essen, Germany Carla Limongelli Università Roma Tre, Italy Jionghao Lin Carnegie Mellon University, USA Allison Littlejohn University College London, UK Qi Liu University of Science and Technology of China, China Ziyuan Liu Singapore Management University, USA Sonsoles López-Pernas University of Eastern Finland, Finland Yu Lu Beijing Normal University, China Vanda Luengo Sorbonne Université - LIP6, France Collin Lynch North Carolina State University, USA John Magee Clark University, USA George Magoulas Birkbeck, University of London, UK Linda Mannila Åbo Akademi University, Finland

#### xviii Organization

Jeffrey Matayoshi McGraw Hill ALEKS, USA Guilherme Medeiros Machado ECE Paris, France Eva Millan Universidad de Málaga, Spain Marcelo Milrad Linnaeus University, Sweden Tsunenori Mine Kyushu University, Japan Sein Minn Inria, France Péricles Miranda UFPE, Brazil Mukesh Mohania IIIT Delhi, India Ana Mouta USAL, Portugal Narges Norouzi UC Berkeley, USA Xavier Ochoa New York University, USA Jennifer Olsen University of San Diego, USA

Mirko Marras University of Cagliari, Italy Alejandra Martínez-Monés Universidad de Valladolid, Spain Goran Martinovic **J.J. Strossmayer University of Osijek, Croatia** Diego Matos Federal University of Alagoas, Brazil Noboru Matsuda North Carolina State University, USA Christoph Meinel Hasso Plattner Institute, Germany Roberto Angel Melendez Armenta Universidad Veracruzana, Mexico Agathe Merceron Berlin State University of Applied Sciences, Germany Wookhee Min North Carolina State University, USA Tsegaye Misikir Tashu University of Groningen, Netherlands Tanja Mitrovic University of Canterbury, New Zealand Phaedra Mohammed University of the West Indies, Trinidad and Tobago Bradford Mott North Carolina State University, USA Chrystalla Mouza University of Illinois at Urbana-Champaign, USA Pedro J. Muñoz-Merino Universidad Carlos III de Madrid, Spain Takashi Nagai **Institute of Technologists**, Japan Tricia Ngoon Carnegie Mellon University, USA Huy Nguyen Carnegie Mellon University, USA Dr. Nasheen Nur Florida Institute of Technology, USA Benjamin Nye University of Southern California, USA Jaclyn Ocumpaugh University of Pennsylvania, USA Elaine H. T. Oliveira Universidade Federal do Amazonas, Brazil Ranilson Paiva Universidade Federal de Alagoas, Brazil Viktoria Pammer-Schindler Graz University of Technology, Austria Luc Paquette University of Illinois at Urbana-Champaign, USA Abelardo Pardo University of Adelaide, Australia Zach Pardos University of California, Berkeley, USA Rebecca Passonneau Pennsylvania State University, USA

Eduard Pogorskiy Open Files Ltd., UK Yuvaraj R. Anna University, India Mladen Rakovic Monash University, Australia Steven Ritter Carnegie Learning, Inc., USA José Raúl Romero University of Cordoba, Spain José A. Ruipérez Valiente University of Murcia, Spain Eileen Scanlon Open University, UK Bertrand Schneider Harvard University, USA Lele Sha Monash University, Australia

Valerie Shute FSU, USA

Vinitra Swamy EPFL, Switzerland

Rumana Pathan Institute of Technology Bombay, Indian Institute of Technology Bombay, India Mar Perez-Sanagustin Université Paul Sabatier Toulouse III, France Yang Pian Beijing Normal University, China Niels Pinkwart Humboldt-Universität zu Berlin, Germany Oleksandra Poquet Technical University of Munich, Germany Ethan Prihar École polytechnique fédérale de Lausanne, Switzerland David Pynadath University of Southern California, USA Sowmya Ramachandran Stottler Henke Associates Inc., USA Luiz Rodrigues Federal University of Alagoas, Brazil Carolyn Rose Carnegie Mellon University, USA Rinat B. Rosenberg-Kima Technion - Israel Institute of Technology, Israel Sreecharan Sankaranarayanan Carnegie Mellon University, USA Robin Schmucker Carnegie Mellon University, USA Kazuhisa Seta Osaka Metropolitan University, Japan Tasmia Shahriar North Carolina State University, USA Kshitij Sharma Norwegian University of Science and Technology, Norway Bruce Sherin Northwestern University, USA Atsushi Shimada Kyushu University, Japan Aditi Singh Cleveland State University, USA Sean Siqueira Federal University of the State of Rio de Janeiro (UNIRIO), Brazil Andy Smith North Carolina State University, USA Nancy Songer University of Utah, USA John Stamper Carnegie Mellon University, USA Christian M. Stracke University of Bonn, Germany Abhijit Suresh University of Colorado Boulder, USA Paula Toledo Palomino Sao Paulo State College of Technology (FATEC) -Matão, Brazil Maya Usher Technion, Israel

#### xx Organization



# **International Artificial Intelligence in Education Society**

# **Management Board**

### **President**

Olga C. Santos UNED, Spain

#### **Secretary/Treasurer**

Vania Dimitrova University of Leeds, UK **Journal Editors**

Vincent Aleven Carnegie Mellon University, USA Judy Kay University of Sydney, Australia

#### **Finance Chair**

Benedict du Boulay University of Sussex, UK

#### **Membership Chair**

Benjamin D. Nye University of Southern California, USA

#### **IAIED Officers**

Yancy Vance Paredes North Carolina State University, USA Son T. H. Pham Nha Viet Institute, USA Miguel Portaz UNED, Spain

#### **Executive Committee**



Diego Zapata-Rivera Educational Testing Service, Princeton, USA

#### xxii Organization



North Carolina State University, USA North Carolina State University, USA Durham University, UK Worcester Polytechnic Institute, USA University of Memphis, USA University of Duisburg-Essen, Germany University of Massachusetts, USA Universidade Federal de Alagoas, Brazil



# Anticipating Student Abandonment and Failure: Predictive Models in High School Settings

Emanuel Marques Queiroga<sup>1,2,3( $\otimes$ )</sup>  $\circledcirc$ , Daniel Santana<sup>4</sup> $\circledcirc$ , Marcelo da Silva<sup>4</sup> $\circledcirc$ , Martim de Aguiar<sup>2</sup> $\bullet$ , Vinicius dos Santos<sup>4</sup> $\bullet$ , Rafael Ferreira Mello<sup>5</sup> $\bullet$ , Ig Ibert Bittencourt<sup>3</sup> , and Cristian Cechinel<sup>3,5,6</sup>

<sup>1</sup> Federal Institute of Education, Science and Technology Sul-rio-grandense (IFSul), Pelotas, Brazil

emanuelmqueiroga@gmail.com

<sup>2</sup> Inter American Development Bank (IDB), Brasília, Brazil <sup>3</sup> Center of Excellence for Social Technologies (NEES), Federal University of Alagoas (UFAL), Maceió, Brazil ig.ibert@ic.ufal.br, contato@cristiancechinel.pro.br <sup>4</sup> Instituto Unibanco, São Paulo, Brazil

{daniel.santos-santana,marcelo.pessoa-silva,

vinicius.oliveira-santos}@sgpiu.org.br

<sup>5</sup> Centro de Estudos e Sistemas Avançados do Recife (CESAR), Recife, Brazil rafael.mello@ufrpe.br

<sup>6</sup> Federal University of Santa Catarina (UFSC), Florianópolis, Brazil

Abstract. Addressing the issue of high school non-completion poses a crucial challenge for contemporary education. This research introduces a machine learning-based methodology to identify students at risk of failure and abandonment in a specific Brazilian state, aiming to establish an early warning system utilizing academic, socioeconomic, and performance indicators for proactive interventions. The methodology followed here ensures the explainability of predictions and guards against bias in relation to certain features. The analysis of data from 79,165 students resulted in the creation of six accurate classification models, with accuracy rates ranging from 69.4% to 92.7%. This underscores the methodology's effectiveness in identifying at-risk students, highlighting its potential to alleviate failure and abandonment. The implementation of this methodology could positively influence proactive educational policies and enhance educational metrics within the state.

**Keywords:** Learning Analytics  $\cdot$  Educational Data Mining  $\cdot$  Educational Technology

#### 1 Introduction

High school education in Brazil is characterized by a complex interaction among the education systems of states and the Union, resulting in a diverse, decentralized, and challenging landscape. Each federative entity has the autonomy

<sup>-</sup>c The Author(s), under exclusive license to Springer Nature Switzerland AG 2024 A. M. Olney et al. (Eds.): AIED 2024, LNAI 14829, pp. 351–364, 2024. https://doi.org/10.1007/978-3-031-64302-6\_25

#### 352 E. M. Queiroga et al.

to establish its own educational policies, leading to significant variations in the quality of education provided [26]. This decentralization is reflected in socioeconomic disparities, school infrastructure conditions, and student performance indices by state, contributing to the creation of a complex and multifaceted educational scenario [17,22,26].

Despite clear efforts made, Brazilian Education faces significant challenges, with dropout, abandonment and failures as the most prominent issues. School dropout is characterized by the student leaving school before completing his/her studies, whether abandonment is when the student drops the school in a given year but returns in the next. Failure consists the repetition of academic year [12]. All these issues compromise the access to education, the quality of learning, and the consequent development of the students.

The performance of students in school is normally compromised by multifactorial problems, making the understanding and prevention of the risks of academic failure complex [1]. Thus, in search of alternatives and mechanisms to assist educational stakeholders and schools in developing and monitoring evidence-based public policies, the use of predictive analysis emerges as one of the main tools for obtaining actionable insights from available data [2]. The use of such an approach helps educational stakeholders identify students' behavioral patterns, providing a more robust understanding of the factors contributing to dropout and failure. Predictive analysis has the potential to early identify students at risk of successfully completing their academic trajectory, enabling the implementation of preventive policies for them [10,15].

The current paper outlines the development of an early warning system designed to predict students at risk of failure and/or abandonment in the State of Espírito Santo, Brazil. The proposed methodology integrates various sets of features, including school variables and socioeconomic information, as input for generating machine learning models to predict students at risk at an early stage. Furthermore, the proposed methodology conducts a thorough bias analysis, aiming for models that are equitable and fairness. The results obtained align with the existing literature, offering a new perspective on implementation strategies for educational intervention in real life.

#### 2 Related Literature

The field of educational data analysis has evolved significantly, with various studies demonstrating the effectiveness of applying Artificial Intelligence algorithms in identifying students at risk in high school [9]. However, these studies diverge in the data used and the contexts of application. In addition to socioeconomic factors, prior academic performance emerges as a powerful risk indicator [14,17,20]. Students with a history of low performance in fundamental subjects are more likely to fail [14,20]. Early identification of difficulties and the implementation of targeted interventions are crucial to prevent further academic delays [25].

A multifactorial approach is crucial to understanding school abandonment and academic failure [13]. This approach may involve a diverse range of factors that impact the student, including significant socioeconomic aspects [14]. Studies have consistently shown that students from low-income families face greater academic and persistence challenges [23]. The lack of educational resources, limited family support, and socioeconomic adversities contribute to decreased engagement and academic success [21,29].

The analysis of these features should not occur in isolation but rather as part of a holistic system that integrates socioeconomic, emotional, and academic factors. This approach provides a more comprehensive view of individual student circumstances and allows for the development of more personalized support strategies [8,28]. Early identification, based on a variety of indicators, enables more effective interventions at critical moments in the student's educational journey, reducing the risks of dropout, abandonment and academic failure.

In this way, the studies by Márquez-Vera et al. [20], Parr & Bonitz [23], Chung and Lee [5], Gómez et al. [13], and Krüger et al. [17] collectively offer a diverse perspective on the use of data analytics and machine learning in predicting school dropout rates. At the same time, the search for educational patterns that can assist in identifying students with high probabilities of failure is a general concern in the field, with studies such as that of Hernandez-Leal et al. [14] showing that in the transition from elementary to secondary education, difficulties in Mathematics and Language subjects are critical points, significantly impacting student failure rates, regardless of socioeconomic level or school location.

Márquez-Vera et al. [20] and Chung and Lee [5] both implemented machine learning techniques - the former in Mexico and the latter in Korea. Márquez-Vera et al. achieved an impressive 99.8% accuracy in predicting early school dropout, demonstrating the power of data mining in educational settings. Similarly, Chung and Lee's [5] use of random forests in a large dataset of Korean students showed a 95% accuracy rate, underscoring the efficacy of machine learning algorithms in identifying at-risk students.

On the other hand, Parr & Bonitz [23] in the USA and Gómez et al. [13] in Chile approached the issue through different lenses. Parr & Bonitz's study focused on the influence of family background and student behavior, emphasizing socio-economic and behavioral factors, while Gómez et al. [13] developed models for Chile's education system, highlighting the need for large-scale data analysis in educational policy and intervention planning.

In the final analysis, the study by Krüger et al. [17] in Brazil stands out for its use of an explainable machine learning approach, achieving a notable accuracy of up to 95%. This research highlights the critical aspect of model interpretability, ensuring that educators and policymakers can understand and utilize the insights provided by such predictive models. These diverse studies, summarized in Table 1, provide a comprehensive overview encompassing objectives, levels of application, data used, types of data, results obtained, and conclusions, showcasing the multifaceted nature of data analytics in educational contexts across different countries.

In this study, we propose a customized approach for the early identification of high school students at risk of abandonment or failure in Espírito Santo, Brazil.

#### 354 E. M. Queiroga et al.

Study	Goal	Educational Level	Data Used	Types of Data	Results	Conclusion
Márquez- Vera et al. $\left[20\right]$	Predict early school dropout	High School in Mexico	419	Student academic and personal data	99.8% ACC	Effectiveness of data mining in dropout prediction
Parr & Bonitz [23]	Influence of family background on school dropout	High School in the USA	15,753	Demographic, behavioral, academic performance data	$\equiv$	Importance of socio-economic and behavioral factors
Chung $&$ Lee $\lceil 5 \rceil$	Predict school dropout using ML	High School in Korea	165,715	Comprehensive 95% ACC educational data.		Effectiveness of random forests in risk identification
Gómez et al. $[13]$	Develop ML models	High School in Chile	Large datasets	Administrative educational data	$\sim$	Need for large-scale approaches
Krüger et al. $[17]$	Explainable machine learning for dropout prediction	High School in Brazil	19 schools Data	School records. academic performance	95% ACC	Importance of interpretable models in education
Hernandez Leal et al. $[14]$	Unveil educational patterns in Colombia	High School in north of Colombia	6,400	Academic performance, socio-economic data	$60\% - 99.8\%$ F1	Importance of identifying educational patterns for policy-making

Table 1. Comparative of State of Art

This approach differs from the literature in some main aspects. The first is the large-scale perspective and development through the needs of the State's Department of Education. The second is that it is developed with practical integration in mind, which will be implemented in the next stage of the project. Third, this approach significantly differs from the methodologies found in the reviewed literature by combining academic, socioeconomic, and performance data to create a predictive model adapted to local specifics. At last, another important aspect is the use of fairness analysis to ensure algorithmic equity.

#### 3 Context of the Experiments

The state of Espírito Santo, located in the southeastern region of Brazil, stands out for its unique educational characteristics. In 2021, the Basic Education Development Index (IDEB) in the state was 4.4 [16], while the established goal was 4.9, highlighting the need for improvements to achieve the proposed objectives. Additionally, the age-grade distortion reached 20.9%, rising to 25.5% in the first year. These numbers indicate significant challenges in the proper progression of students throughout the school years.

In 2022, the state's secondary education system in Espírito Santo faced a 6.6% repetition rate and a 2% abandonment rate, accounting for 8,884 students who encountered challenges in their education trajectory [16]. Although these

indicators represent an improvement compared to the historical school performance results, obstacles in promoting continuous academic progression still persist. In comparison, Brazil recorded rates of 7.7% and 5.7% for repetition and abandonment, respectively [16].

Despite better indicators than the national average, critically assessing educational challenges in Espírito Santo is crucial. Understanding factors behind abandonment and failure is key for effective preventive measures. Continuous monitoring of educational indicators should guide policy development for improving education quality and efficiency in the state.

#### 4 Methodology

This study applies the Cross-Industry Standard Process for Data Mining (CRISP-DM) model to guide the analysis of educational data [27]. CRISP-DM is a robust model that facilitates understanding, preparation, modeling, evaluation, and deployment of data in a structured process.

#### 4.1 Data Collection and Processing

Data collection adhered to Brazil's General Data Protection Law (LGPD), ensuring student data privacy and security [19]. Similar to the European Union's GDPR, LGPD underscores transparency, consent, and personal data protection [19]. The data were anonymized to comply with these regulations.

In this stage, data manipulation was performed to structure the available information appropriately. Data cleaning procedures, treatment of missing and duplicate information, outlier detection, and selection of the population and features of interest were carried out. The standardization and structuring strategy followed the steps:

- 1. Selection of the reference: High school students from Espírito Santo with valid enrollment.
- 2. Selection of the features: It was chosen to exclude columns with constant and null values, duplicates and other information that did not apply to the study.
- 3. Handling of duplicate observations: We applied the split-apply-combine technique so that each row in the database be a unique record.
- 4. Missing data treatment: We applied proxy technique to handle information that existed but was not recorded.
- 5. Exclusion of observations: Exclusion of duplicate students IDs preserving the most recent enrollment date.

356 E. M. Queiroga et al.

#### 4.2 Data Description

Table 2 presents the number of students in the dataset. These data encompass information from the academic year 2022, including a total of 79,165 students enrolled in high schools in the state of Espírito Santo, Brazil. The database represents a diverse mix of institutions in terms of geographical location (urban and rural), covering all 78 municipalities in the state.

			School Year   Total of Students   Approved   Failed and/or abandoned
First Year	29,101	24,705	4,396
Second Year $ 27,017$		23,656	3,361
Third Year	23,047	21,711	1,336
Total	79,165	70,072	9,093

Table 2. Total amount of students

The student demographic is diverse, encompassing a wide age range typical of high school, which in Brazil is from 14 to 17 years old. However, these values show a slight tendency to increase due to age-grade distortion. The gender distribution is approximately balanced, with 45% boys and 55% girls. Regarding the ethnic composition of students, it reflects the cultural diversity of the state. Additionally, a total of 39% of students are enrolled in government assistance programs, which is a significant percentage, indicating a considerable presence of students from low socioeconomic backgrounds.

Groups of Features. The features used to generate the predictive models can be broadly classified into three different groups in accordance with their educational importance, as follows:

- Demographic and socioeconomic: The features of age, gender, ethnicity, and social government assistance status are essential for understanding students' context. Literature suggests these socioeconomic factors significantly influence access to educational resources and extracurricular support, impacting student performance and motivation [21,29].
- Academic: features such as student grades, absences, and attendance are employed, providing a direct measure of student performance and engagement. Low performance and a high rate of absences are associated with a greater risk of dropout and failure, requiring specific educational interventions [24,25].
- Disability-related issues: features such as the presence of any type of disability and the need for any kind of special assistance are employed. Including information about the type of disability and support resources ensures an inclusive educational approach. Adaptive educational resources for students with disabilities are important to minimize negative impacts on academic performance [3,11].

Fairness Analysis. The features were examined to identify any potential for generating unwanted biases in the automated models. The exploratory data analysis included an assessment not only of the significance of each attribute but also of how their values are distributed. As a result, five features were identified for in-depth analysis as they could be potential generators of discriminatory biases: "*Ethnicity*", "*Government Assistance*," "*Gender*," "*Class Period*," and "*Disability*". These five features were selected after several meetings with the Department of Education of the State of Espírito Santo and based on the existing related literature about bias in predictive learning analytics [7,29].

#### 4.3 Predictive Models

The predictive models used in this study are *white box*, emphasizing the commitment to transparency in algorithmic decision-making processes. These models are known for their interpretability, providing clear insights into how input features influence predictions [17]. In educational contexts, where decisions shape students' paths, interpreting predictions is vital to foster understanding among educators and administrators, to build trust in the analytical tools, and to give transparency for auditing and review [15].

The following *white-box* algorithms were tested: Logistic Regression, AdaBoost Classifier, RandomForest Classifier, DecisionTree Classifier, MLP Classifier (used as a benchmark), Gaussian Naive Bayes, and HistGradient Boosting Classifier. The inclusion of MLP is to provide a comparative performance of more complex models [13]. The models were trained and tested using 10-fold cross-validation and evaluated using the following metrics: accuracy, precision, recall, AUCROC, confusion matrix, and f1-measure.

Data was balanced with random oversampling and undersampling techniques and using the imblearn library [18]. This strategy balanced the classes distribution by increasing the representation of minority classes (students that failed and/or abandoned) through oversampling and reducing the dominance of majority classes through undersampling (students that approved).

The modeling strategy involved using two models for each high school year, one at the start of the academic year and another at the end of the first trimester. This dual-phase approach is essential for capturing the dynamic nature of the educational environment and students' progress. The choice of this two-stage strategy is primarily grounded in the following factors:

- Temporal dynamics of student development: The performance and needs of students evolve throughout the academic year. Models generated at different times can capture these changes, enabling more precise and timely interventions [4,5,21].
- Adaptation to changes in the school environment: New challenges and opportunities arise during the academic year. A model generated after the first trimester can incorporate recent data, better reflecting the current situation of students [10,15].

#### 358 E. M. Queiroga et al.

– Early prediction and continuous monitoring: An initial model provides the ability to early identify students at risk, while the first-trimester model allows for adjusting and refining these predictions as more information becomes available.

Figure 1 depicts the 6 models developed according to the mentioned strategy (2 for each year grade of high school).



Fig. 1. Predictive models developed for the three years of high school

Depending on the moment of the school year the model is used, different input information is provided, as follows:

- 1. Pre-start Models: Based on historical data, this model utilizes features such as demographic and socioeconomic data to predict risks before the start of the academic year. These models do not use previous academic performance features as they were not available in the dataset.
- 2. Models after first trimester: Built after the end of the first trimester, this model incorporates recent data, including performance in the current trimester and other relevant features such as demographic data and socioeconomic data to refine the initial predictions. These models also use performance-derived features, such as the student's position relative to the class average, to place the student within the school context.

#### 5 Results

#### 5.1 Performance of the Predictive Models

Table 3 displays the performance of the best predictive models for each stage of students' high school trajectory. In the Model column, Y represents the school year, and M indicates the moment of model application. As shown in the table, models  $Y1-M2$ ,  $Y2-M2$ , and  $Y3-M2$  exhibit the best performances, with high accuracies, indicating a consistent and accurate identification of students at risk of failing or abandon the school year. These results also indicate that as more information is used in the models, the better will be their performances

during the year. An example of this situation is depicted in the feature selection of the top twenty features  $(F = 20)$  of the Y 1–M2 model, as displayed in Fig. 2, Notably, the influence of features representing grades, attendance, and absences throughout the first trimester of the first high school year is evident

Model	Classifier	Sampling		Accuracy   Precision   Recall   AUC			F1	Confusion Matrix (TP, FN) FP, TN)
Y1-M1	Random Forest	Feature Selection $(F=20)$ Random Undersampling	69.3	28.46	68.99	68.78	40.24	1289.1. 565.9 102.3, 225.2
$Y1-M2$	Random Forest	Feature Selection $(F=20)$ Random Undersampling	90	81.54	50.43	74.2		$62.29$   1557.6, 293.7 44.3, 286.9
$Y2-M1$	Random Forest	Random Undersampling	69.4	23.83	67.72	68.67		$35.24 \mid 1237.2,$ 539.7 80.3, 169.0
$Y2-M2$	Random Forest	Random Undersampling	92.7	85.41	51.45		75.08 64.12	1512.0, 258.5 36.4, 219.3
$Y3-M1$	Random Forest	Random Undersampling	82.54	24.51	75.21		79.11 33.31	1351.2, 276.6 25.1, 75.6
Y3-M2	Random Forest	Random Undersampling	89.6	34.7	86.95	88.35	49.51	1460.3. 166.6 13.1, 88.5

Table 3. Results of best classifier for each model

As it is possible to see, the best models were all generated by the Random Forest algorithm. Analyzing specific metrics, we note that models  $Y2-M2$  and <sup>Y</sup> <sup>3</sup>−M<sup>2</sup> have excellent areas under the ROC curve (AUC), indicating remarkable discrimination. This suggests that these models are effective in distinguishing students with and without academic issues. The balanced F1-Score of model  $Y2-M2$  highlights its good balance between precision and recall, signaling a harmonious classification ability, especially at the end of the first trimester of the academic year.

An important analysis focuses on the true negatives (TN) present in the confusion matrices of Table 3, representing the correct classification of the students who will fail or abandon. These results play a significant role, particularly when considering the remarkable stability at the end of the first academic trimester (M2).

#### 360 E. M. Queiroga et al.



Fig. 2. Feature Selection ( $F = 20$  for Y1-M2.

The results suggest that the models, notably those at the end of the first trimester, have promising potential for early identification of students with possible academic trajectory issues. These findings have valuable implications for intervention strategies and personalized support, aiming to enhance students' academic paths throughout the academic period.

#### 5.2 Fairness Analysis

The bias of the models developed in this study were assessed using the What-If Tool. This tool allows the evaluation of how model decisions may vary for different groups or student characteristics. Bias analysis is crucial in the educational context, where equity and justice in education are fundamental priorities. Ensuring that prediction models do not perpetuate or amplify existing inequalities is a crucial objective, and the What-If Tool provides a systematic and transparent approach to achieving this goal.

Table 4 presents the results of the bias analysis for each models according to the protected features.

As can be seen in the Table 4, the accuracy values among the classes of features with potential for generating undesirable bias show that none of the models exhibited bias concerning the features defined as potential sources of bias. This positive result highlights the effectiveness of the strategies adopted to mitigate any unfair tendencies in the prediction models.

Model	Gender		Class Period		Government Assistance		Ethnicity		Disability	
				Male $\operatorname{Female} \operatorname{Day} \operatorname{Evening} $ Yes		No		White Non White Yes No		
$Y1-M1$   79.1		78.9	81.8	78.7		77.8 80.2	78.8	85		71.4 79.3
$Y1-M2 87.7$		83.3	85	90		85.4 85.4	88.5	83.1		85.3 87.5
$Y2-M1$   $71.5$		75		$88.6$ 71.7		$72.6 \mid 73.6$	73.3	71.4		$87.5$ 72.5
$Y2-M2 87$		87.4	86	98		86.9 87.4	87	92.9	81	87,5
$Y3-M1$	65.4	73.9	70	69.6	65.3 73		63	79.4	80	69.8
$Y3-M1 91.6$		92	91	98.1	91.5 92		93.8	90.7		81.3 92.1

Table 4. Model accuracy by class for features with potential bias, illustrating bias mitigation effectiveness.

#### 6 Discussion

This work presents a methodology for creating prediction models for early risk of abandonment or failure in high school, demonstrating the efficacy and applicability of machine learning techniques in identifying patterns and predictors for that purpose. The research aligns with the existing literature in learning analytics, a subfield of artificial intelligence and machine learning, highlighting the accuracy of these techniques to enhance educational interventions and promote student success [21].

Compared to previous works, such as those by Márquez-Vera et al. [20] and Chung and Lee [5], this study distinguishes itself by integrating academic, socioeconomic, and performance data, adopting a multifactorial approach, and emphasizing the interpretability of models, in line with current trends in explainable machine learning, as proposed in the work of Kruger et al. [17]. Moreover, the research stands out for its focus on eliminating biases of algorithmic discrimination, an aspect not yet significantly addressed in the field's literature, promoting fair and equitable analytical models, reflecting an advancement in the theory on the subject in Learning Analytics, as proposed by Deho et al. [7].

The work also distinguishes itself in terms of its scope, and applicability on a large scale, focusing on a significant number of students. Compared to the works of Márquez-Vera et al. [20], Parr & Bonitz [23], Krüger et al. [17], and Hernandez-Leal et al. [14], the student numbers are significantly larger, covering the entire public high school network of the state. Thus, unlike previous research that may have been limited to smaller contexts or specific samples [14,17,20,23], this study demonstrates robustness and flexibility that allow its application in different educational contexts, covering a significant number of students. This scalability ensures that the insights and interventions proposed can be adapted and implemented in educational systems of various sizes and characteristics in other Brazilian states, provided small adjustments are made to the educational needs and contexts, offering a viable solution for large-scale educational improvements.

#### 362 E. M. Queiroga et al.

The participatory approach in methodological development, closely collaborating with the Secretary of Education of Espírito Santo (SEDU-ES), is a relevant aspect of the practical implementation of the work, breaking down the last barrier established by Clow et al. [6] in the practical application of LA. Furthermore, this approach ensures that the proposed educational strategies are effective and adapted to local specificities, offering a viable and more adaptable model to the context and user expectations, thus achieving higher levels of acceptance as established by the research of Herodotou et al. [15].

The research underlines the importance of using data to improve teaching and learning processes, highlighting the need for personalized educational strategies and interventions that address socioeconomic disparities [3,11]. By providing detailed data and analyses, the study offers a basis for the formulation of affirmative policies that promote equity and inclusion, contributing to sustainable economic development through the improvement of educational indices, seeking to ensure fair opportunities for all students.

#### 7 Final Remarks

This study demonstrated the feasibility of using machine learning techniques for early identification of high school students at risk in their academic journey in Espírito Santo. The developed models, applying academic, socioeconomic, and performance data, showed high accuracy, highlighting their potential in early prediction of school abandonment and failure.

The research emphasizes the importance of analytical tools in education, especially in regions with significant challenges in school failure. The study offers valuable insights for proposing a data-driven approach to mitigate school dropout.

The proposed models can serve as crucial tools for educators and school administrators, allowing for targeted and timely interventions. They represent a significant step towards a more adaptive and responsive educational system to student needs.

Although the results are promising, the study faces limitations, such as the need to evaluate the acceptance of the models by educators. Furthermore, future research could explore the inclusion of more features and the application of the models in other regions to generalize the findings. An important step for improving accuracy rates, especially in models at the beginning of the school year, is the inclusion of students' historical data, as proposed by Queiroga et al [25].

This study underscores the relevance of data-based approaches in education, offering a new perspective in the fight against school abandonment and failure. It sets a precedent for the implementation of innovative and effective educational strategies, highlighting the potential of analytical technologies in improving educational quality.

Acknowledgments. This work was supported by Inter American Development Bank and Unibanco Institute. Cristian Cechinel was partially supported by the Brazilian National Council for Scientific and Technological Development (CNPq) (grants 305731/2021-1 and 409633/2022-4).

#### References

- 1. Amparo, D.M.d., Galvão, A.C.T., Cardenas, C., Koller, S.H.: A escola e as perspectivas educacionais de jovens em situação de risco. Psicologia Escolar e Educacional 12(1), 69-88 (2008). https://doi.org/10.1590/S1413-85572008000100006
- 2. Attaran, M., Attaran, S.: Opportunities and challenges of implementing predictive analytics for competitive advantage. In: Applying Business Intelligence Initiatives in Healthcare and Organizational Settings, pp. 64–90 (2019)
- 3. Cechinel, C., et al.: Mapping learning analytics initiatives in Latin America. Br. J. Educ. Technol. 51(4), 892–914 (2020)
- 4. Chatti, M.A., Dyckhoff, A.L., Schroeder, U., Thüs, H.: A reference model for learning analytics. Int. J. Technol. Enhanced Learn. 4(5–6), 318–331 (2013)
- 5. Chung, J.Y., Lee, S.: Dropout early warning systems for high school students using machine learning. Child Youth Serv. Rev. 96, 346–353 (2019)
- 6. Clow, D.: The learning analytics cycle: closing the loop effectively (2012)
- 7. Deho, O.B., Zhan, C., Li, J., Liu, J., Liu, L., Le Duy, T.: How do the existing fairness metrics and unfairness mitigation algorithms contribute to ethical learning analytics? Br. J. Edu. Technol. 53(4), 822–843 (2022)
- 8. Elistia, E., Syahzuni, B.A.: The correlation of the human development index (HDI) towards economic growth (GDP per capita) in 10 Asean member countries. JHSS (J. Hum. Soc. Stud.) 2(2), 40–46 (2018)
- 9. Esbenshade, L., Vitale, J., Baker, R.S.: Non-overlapping leave future out validation (nolfo): Implications for graduation prediction. prePrint . https://doi.org/10. 35542/osf.io/tx8cy
- 10. Ferguson, R.: Learning analytics: drivers, developments and challenges. Int. J. Technol. Enhanced Learn. 4(5/6), 304–317 (2012)
- 11. Ferguson, R., et al.: Research evidence on the use of learning analytics: implications for education policy (2016)
- 12. Ferreira, S.G., Ribeiro, G., Tafner, P.: School abandonment and dropout in Brazil. Technical report, Rio de Janeiro, Brazil (2022)
- 13. Gómez-Pulido, J.A., Park, Y., Soto, R.: Advanced techniques in the analysis and prediction of students' behaviour in technology-enhanced learning contexts (2020)
- 14. Hernández-Leal, E., Duque-Méndez, N.D., Cechinel, C.: Unveiling educational patterns at a regional level in Colombia: data from elementary and public high school institutions. Heliyon 7(9), e08017 (2021)
- 15. Herodotou, C., Rienties, B., Boroowa, A., Zdrahal, Z., Hlosta, M., Naydenova, G.: Implementing predictive learning analytics on a large scale: the teacher's perspective. In: Proceedings of the Seventh International Learning Analytics & Knowledge Conference, pp. 267–271 (2017)
- 16. Instituto Nacional de Estudos e Pesquisas Educacionais Anísio Teixeira (INEP): Censo Escolar 2021 (2022). https://qedu.org.br/uf/32-espirito-santo. Accessed 1 Jan 2024
- 17. Krüger, J.G.C., de Souza Britto Jr., A., Barddal, J.P.: An explainable machine learning approach for student dropout prediction. Expert Syst. Appl. 233, 120933 (2023)

#### 364 E. M. Queiroga et al.

- 18. Lemaître, G., Nogueira, F., Aridas, C.K.: Imbalanced-learn: a python toolbox to tackle the curse of imbalanced datasets in machine learning. J. Mach. Learn. Rese. 18(17), 1–5 (2017). http://jmlr.org/papers/v18/16-365.html
- 19. Lorenzon, L.N.: Análise comparada entre regulamentações de dados pessoais no brasil e na união europeia (lgpd e gdpr) e seus respectivos instrumentos de enforcement. Revista do Programa de Direito da União Europeia 1, 39–52 (2021)
- 20. Márquez-Vera, C., Cano, A., Romero, C., Noaman, A.Y.M., Mousa Fardoun, H., Ventura, S.: Early dropout prediction using data mining: a case study with high school students. Expert Syst. 33(1), 107–124 (2016)
- 21. Moissa, B., Gasparini, I., Kemczinski, A.: A systematic mapping on the learning analytics field and its analysis in the massive open online courses context. Int. J. Distance Educ. Technol. (IJDET) 13(3), 1–24 (2015)
- 22. OECD: Benchmarking Higher Education System Performance. OECD Publishing, Paris, France (2019). https://doi.org/10.1787/be5514d7-en
- 23. Parr, A.K., Bonitz, V.S.: Role of family background, student behaviors, and schoolrelated beliefs in predicting high school dropout. J. Educ. Res.  $108(6)$ ,  $504-514$ (2015)
- 24. Queiroga, E.M., et al.: Experimenting learning analytics and educational data mining in different educational contexts and levels. In: 2022 XVII Latin American Conference on Learning Technologies (LACLO), pp. 1–9. IEEE (2022)
- 25. Queiroga, E.M., Batista Machado, M.F., Paragarino, V.R., Primo, T.T., Cechinel, C.: Early prediction of at-risk students in secondary education: A countrywide k-12 learning analytics initiative in Uruguay. Information 13(9), 401 (2022)
- 26. Stanek, C.: The educational system of Brazil. IEM Spotlight 10(1), 1–6 (2013)
- 27. Wirth, R., Hipp, J.: Crisp-dm: Towards a standard process model for data mining. In: Proceedings of the 4th International Conference on the Practical Applications of Knowledge Discovery and Data Mining, vol. 1, pp. 29–39. Springer, London (2000)
- 28. Yakunina, R., Bychkov, G.: Correlation analysis of the components of the human development index across countries. Procedia Econ. Finan. 24, 766–771 (2015)
- 29. Yau, J.Y.K., Ifenthaler, D.: Reflections on different learning analytics indicators for supporting study success. Int. J. Learn. Anal. Artif. Intell. Educ.: IJAI 2(2), 4–23 (2020)